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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/597,881
	Filing Date	June 20, 2000
	First Named Inventor	Robert RADEMACHER
	Art Unit	3628
	Examiner Name	Frantzy POINVIL
	Attorney Docket Number	74577-051
Total Number of Pages in This Submission		26

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
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Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John C. Stellabotte, Reg. No. 47,969 Proskauer Rose LLP
Signature	<i>John C. Stellabotte</i>
Date	May 18, 2004

CERTIFICATE OF TRANSMISSION/MAILING	
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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3628
✓ 41

PATENT
Attorney Docket No.: 74577-051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Robert RADEMACHER, David ADKISSON, and David MALOY
Serial No. : 09/597,881
Filing Date : June 20, 2000
Entitled : LEVERAGE MARGIN MONITORING AND MANAGEMENT
Examiner : Frantzy Poinvil
Group Art Unit: 3628

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GROUP 3600

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Alexander Migirov

Signature

May 18, 2004

Date

AMENDMENT
AND PETITION FOR 3 MONTH EXTENSION OF TIME

Sir:

Applicants respond to the Office Action of November 19, 2003. The shortened
statutory period for response to the Office Action expired on February 19, 2003.

Applicants therefore petition for a 3-month extension of time extending the time for

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response to May 19, 2004. Please charge the extension of time fee and any other necessary fees to Deposit Account No. 16-2500.

Please amend the above-identified patent application as follows:

Amendments to the Specification begin on page 3 of this paper.

Amendments to the Claims begin on page 5 of this paper.

Remarks begin on page 14 of this paper.